

## HRV Serious Offence Penalty Guidelines

Rule	Offence Description	Minimum Penalty
147	Driver shall race a horse on its merits	2 years disqualification
148	Direct, assist, coerce, persuade a driver to race a horse other than on its merits	2 years disqualification
173(1)	Driver betting in a race in which he is participating	Betting on another horse - 12 months suspension Betting on your own drive - \$1000 Fine
187	(1) Must attend an inquiry	\$500 Fine
	(2) Shall not refuse to answer questions, produce a horse, document, substance, equipment, or give false and misleading evidence	\$1000 Fine
	(3) Comply with an order or direction	\$1000 Fine
	(4) Shall undergo an inspection or test	\$1000 Fine
	(5) Abuse or be deliberately obstructive of Stewards	3 months suspension and/or \$1000 fine
	(6) Frustrate an inquiry	\$1000 Fine
190	Horse shall be presented for a race free of prohibited substances	Level 1 – Substances that have no medical use in a racing horse and have high potential to affect performance or be harmful to horses (includes but not limited to Anabolic Steroids, EPO, etorphine, amphetamines) – First Offence: 3 years disqualification
		Level 2 – Substances with a high potential to affect performance (includes but not limited to TCO <sub>2</sub> , CNS stimulants, depressants, local anaesthetics) – First Offence: 18 months disqualification
		Level 3 – Substances that are approved for therapeutic veterinary use in horses (including but not limited to phenylbutazone, dexamethasone, heptaminol, meloxicam) – First Offence: \$10,000 Fine
190A	Out of competition testing	3 years disqualification
192	On course possession of an instrument which could be used to administer or produce a prohibited substance	6 months suspension
193	(1) Stomach tubing within 48 hours of a race	18 months disqualification

	(2) Atomiser, face mask or other device for administration within 48 hours of a race	18 months disqualification
	(3) Race day administration of medication	\$10,000 Fine
194	Procuring, attempting to procure or possessing a substance not registered in accordance with State or Commonwealth legislation	\$2,000 Fine – Non-Level 1 Substance \$10,000 Fine – Level 1 Substance
196A	Administration of a prohibited substance	Level 1 Substance - 4 years disqualification Level 2 Substance – 2 years disqualification
213	(a) Inflict suffering on a horse	12 months DQ
	(b) Have possession of gear, equipment, device or substance capable of inflicting suffering	\$1,000 Fine to 12 months suspension (dependent on type of gear used)
215	Alter or manipulate gear improperly to advantage or disadvantage a horse	\$1,000 Fine
216	Fraudulent or improper nomination	\$2,000 Fine
217	Conceal or attempt to conceal the identity of a horse	12 months disqualification
218	Failing to care for a horse properly	12 months disqualification
227	Offer money or inducement improperly	2 years disqualification
228	Accept or agree to accept money or inducement improperly	2 years disqualification
229	Failure to report offer of money or inducement	12 months disqualification
230	Association with a disqualified person without the consent of the Controlling Body	\$5,000 Fine to 3 months suspension (dependent on level of association)
231(1)	(a) Threaten	\$2,000 Fine
	(b) Harass	\$2,000 Fine
	(c) Intimidate	\$2,000 Fine
	(d) Abuse	\$2,000 Fine
	(e) Assault	12 months disqualification
	(f) otherwise interfere improperly	12 months disqualification
232	Possession or control of a firearm or weapon on course	6 months suspension

236	Employ or use a disqualified person in connection with the care, training, management, supervision or racing of horses	6 months disqualification
241	A person shall not do anything which is fraudulent or corrupt	Fraudulent – 1 year disqualification Corrupt – 2 years disqualification
243	Behaviour which is prejudicial or detrimental to the industry	\$5,000 to 6 months suspension (dependent on conduct)
244	Communicate improperly with the Controlling Body, its members or employees about anything which is likely to be the subject of an inquiry or appeal	\$2,000 Fine
245	Direct, persuade, encourage or assist anyone to breach these rules or otherwise engage in improper practice	\$5,000 Fine
247	A person attending before the Controlling Body, its members or employees or at any proceeding under these rules shall not speak or behave in a malicious, intimidatory or otherwise improper manner	\$5,000 Fine